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PTO/SB/05 (05-03)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 13477STUS02C (NORT10-00352)
First Inventor John E. Lumsden
Title Distributed Redirect Server
Express Mail Label No. EU950127539US

U.S. PTO
10/694566



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 11]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Sheets 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Reader Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1499 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Certificate of Express Mail; Statement of Non-Authorization to Charge Additional Fees

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/735,501

Prior application Information:

Examiner C.H. Smith

Art Unit: 2645

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

33000
(Insert Customer No. or Attach bar code label here)

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Robert D. McCutcheon

Registration No. (Attorney/Agent)

38,717

Signature

Robert D. McCutcheon

Date

10/27/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DOCKET NO. 13477STUS02C (NORT10-00352)
Customer No. 33000

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : John E. Lumsden
Serial No. : (To be assigned)
Filed : Herewith
For : DISTRIBUTED REDIRECT SERVER

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY POST OFFICE EXPRESS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1) Utility Patent Application (Continuation) Transmittal;
- 2) Copy of Patent Application (11 pages), informal drawings (3 sheets), and Declaration and Power of Attorney (1 page) as filed in parent application Serial No. 09/735,501;
- 3) Power of Attorney and Statement Under 37 C.F.R. 3.73(b);
- 4) Preliminary Amendment;
- 5) Statement of Non-Authorization to Charge Additional Fees with Respect to the Continuation Application Filed Herewith;
- 6) Information Disclosure Statement;
- 7) Form PTO/SB/08A;
- 8) Eight (8) references as cited on Information Disclosure Statement;
- 9) Attorney Correspondence Address; and
- 10) Postcard receipt

relating to the above application, were deposited as "Express Mail", Mailing Label No. EU950127539US with the United States Postal Service, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 27, 2003.

Date: 10/27/03

Kathy Cedar
Mailer

Date: 10/27/2003

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Mailed: October 27, 2003 *Express Mail Cert. #EU950127539US*
In re. Application of: John E. Lumsden
Title: DISTRIBUTED REDIRECT SERVER
Docket No.: 13477STUS02C (NORT10-00352)

The following documents were received in the U.S. Patent and Trademark Office on the date stamped below:

- 1) Certificate of Mailing Post Office Express Mail;
- 2) Utility Patent Application (Continuation) Transmittal;
- 3) Copy of Patent Application (11 pages), Informal Drawings (3 sheets) and Declaration and Power of Attorney (1 page) as filed in parent Appl. S/N 09/735,501;
- 4) Power of Attorney and Statement Under 37 C.F.R. 3.73(b);
- 5) Preliminary Amendment;
- 6) Statement of Non-Authorization to Charge Additional Fees with Respect to the Continuation Application filed Concurrently Herewith;
- 7) Information Disclosure Statement;
- 8) Form PTO/SB/08A;
- 9) Eight (8) references as cited on IDS; and
- 10) Attorney Correspondence Address.

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